

## Using $^1\text{H}$ NMR and Chiral Chemical Shift Reagent to Study Intramolecular Racemization of Pentacyclo Pure Enantiomer by Thermal Dyotropic Reaction

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**Abstract:** *In this work, we describe the use of  $^1\text{H}$  NMR using a chiral chemical shift reagent as an alternative method to gas chromatography on a chiral column to determine the enantiomeric excess of the enantiomer (+)-10-exo-hydroxy-pentacyclo [6.2.1.1<sup>3,6</sup>.0<sup>2,7</sup>.0<sup>5,9</sup>] dodeca-4-one (+)-1 and the thermal dyotropic racemization process, which occurs when compound (+)-1 is submitted to chiral gas chromatography analysis.*

**Resumo:** *Neste trabalho, descreve-se o uso de RMN de Hidrogênio utilizando-se reagente de deslocamento químico quiral, como um método alternativo à cromatografia gasosa em coluna quiral, para determinar o excesso enantiomérico do enantiomero (+)-10-exo-hidroxi-pentaciclo [6.2.1.1<sup>3,6</sup>.0<sup>2,7</sup>.0<sup>5,9</sup>] dodeca-4-one (+)-1, assim como a sua racemização através de um processo térmico diotrópico quando o mesmo é analisado por cromatografia gasosa em coluna quiral.*

### Introduction

In the last years, our group has been interested in the spectroscopic aspects of polycyclic compounds such as bicyclic, tricyclic, tetracyclic, pentacyclic and hexacyclic derivatives.<sup>1</sup> The kinetic resolution of polycyclic compounds has been one of our recent focus with the aim to obtain enantiopure alcohols.<sup>2</sup> Proton nuclear magnetic resonance ( $^1\text{H}$  NMR) spectroscopy with a chiral chemical shift reagent is an alternative method to determine the enantiomeric excess of chiral compounds when other methods like gas chromatography (GC) using a chiral column fail.<sup>1b, c</sup>

Herein, we describe the use of a chiral chemical shift reagent as an alternative method to GC on a chiral column. The aim is to determine the racemization of pentacyclic alcohol (+)-1 by intramolecular thermal dyotropic reaction as well as its enantiomeric excess.

### Experimental

NMR spectra were measured with a VARIAN VXR200 ( $B_0 = 4.7$  T) and YH-300 ( $B_0 = 7.05$  T). Chemical shifts are expressed as  $\delta$  (ppm) relative to TMS as an internal standard and the  $J$  values are given in Hz. The chromatograms were obtained using a Shimadzu GC-17A Gas Chromatograph equipped with a FID detector. The parameters used for chiral analysis were as follows: Injector 250 °C; detector 300 °C; oven 170 °C for 15 min then 1 °C/min until 200 °C; column pressure 100 kPa; column flow 33 mL/min; split ratio 1:10. Column  $\beta$ -Dex 120 chiral GC column (30m x 0.25 mm). Optical rotations were measured in a Perkin-Elmer 341 polarimeter with a 0.1 dm cell at a temperature of 20°C.

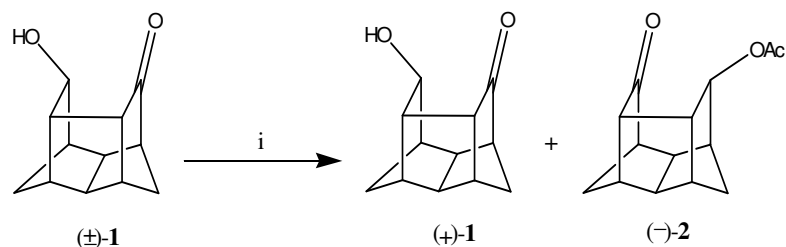
### Enantiomeric excess analysis by $^1\text{H}$ NMR spectroscopy using the chiral chemical shift reagent

High resolution of signals has been achieved for the enantiomeric proton H(10) ( $\alpha$ -OAC) of ( $\pm$ )-2. Sequential addition of the chiral chemical

shift reagent tris [3-(heptafluoropropylhydroxymethylene) - (+) - camphorate] europium (III) <sup>1b,c</sup> Eu(hfc)<sub>3</sub> (5 mg) to a CDCl<sub>3</sub> solution of (±)-**2** (10 mg) in a 5 mm NMR tube, provided the best result with 25 mg of Eu(hfc)<sub>3</sub>. The difference in chemical shift ( $\Delta\Delta\delta$ ) of enantiomeric hydrogen H(10) ( $\alpha$ -OAc) was 0.16 ppm.

## Results and Discussion

In order to obtain the enantiopure form of pentacyclic **1**, the racemic mixture (±)-**1** was transesterified with vinyl acetate catalyzed by lipase from *Candida rugosa*, giving the acetylated compound (-)-**2** and remaining alcohol (+)-**1** (Scheme 1).<sup>3</sup>



(i) Vinyl acetate, Lipase from *Candida rugosa*, 5 hours, 46 % of conversion

**Scheme 1.** Kinetic resolution of (±)-**1**

After five hours with a chemical conversion of 46 %, the products were separated by silica gel column. Firstly we analyzed the enantiomeric excesses of the products by GC in a chiral column, but this technique did not allow good separation of signals for the racemic standard (±)-**2**, although we have tried it with different methods and columns. However, for the racemic standard (±)-**1** good separation of signals was possible using such a technique. Figure 1 shows the analysis of the reaction mixture of the kinetic resolution of (±)-**1** by GC on a chiral column. Figure 1 shows a

unique signal at 31.4 minutes relative to ester (-)-**2** and two signals at a 1:1 ratio relative to alcohol (+)-**1**, possibly indicating racemization. As separation of enantiomeric signals of standard (±)-**2** using GC was not effective, the enantiomeric excess analysis of (-)-**2** was performed by <sup>1</sup>H NMR using the chiral chemical shift reagent tris [3-(heptafluoropropylhydroxymethylene)-(+)-camphorate] europium (III) (Eu (hfc)<sub>3</sub>). This analysis presented high resolution of signals for the enantiomeric protons H (10) ( $\alpha$ -OAc) of the standard (±)-**2** (Figure 2).

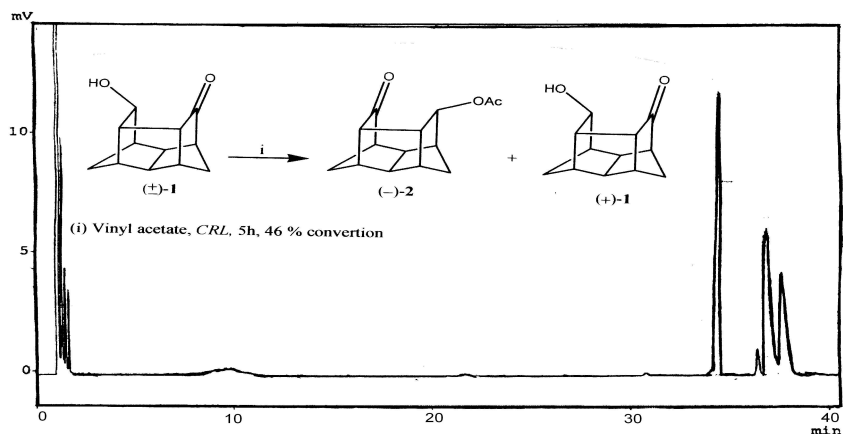


Figure 1. Chromatogram of the reaction mixture

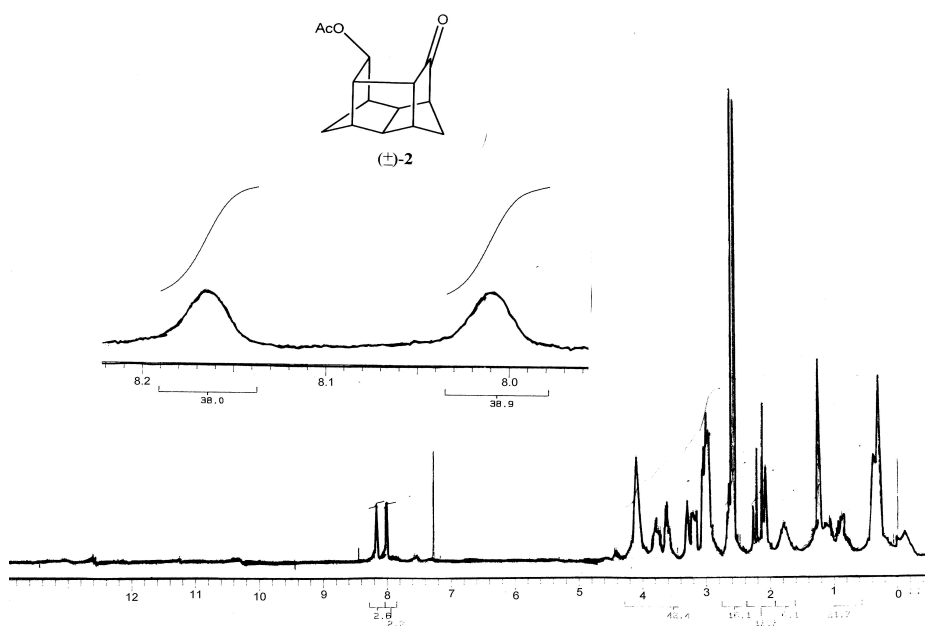


Figure 2.  $^1\text{H}$  NMR spectrum of  $(\pm)$ -2 with 20 mg of  $\text{Eu}(\text{hfc})_3$ .

Figure 3 shows the enantiomeric excess analysis of chiral ester  $(-)$ -2 by  $^1\text{H}$  NMR using the chiral chemical shift reagent. This analysis showed enantiomeric excess up to 95 % for the keto-acetate  $(-)$ -2. However, the GC

analysis of  $(+)$ -1 using a chiral column showed the two enantiomeric signals at a ratio of 1:1, corresponding to the racemate  $(\pm)$ -1 (Scheme 2 and Figure 4).

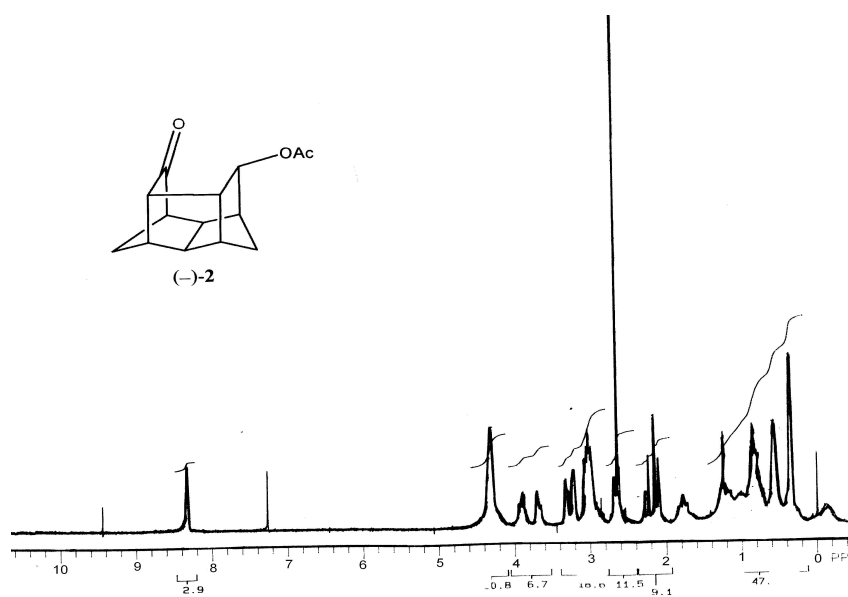
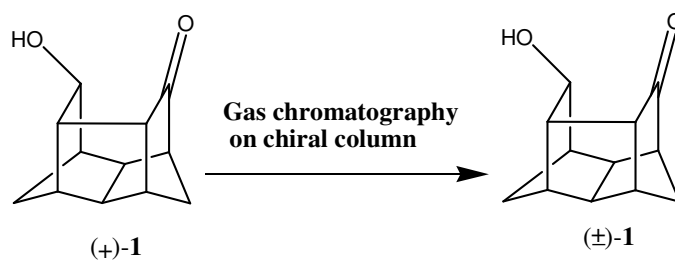


Figure 3.  $^1\text{H}$  NMR spectrum of (-)-2 with 20 mg of  $\text{Eu}(\text{hfc})_3$



Scheme 2. Racemization of (+)-1

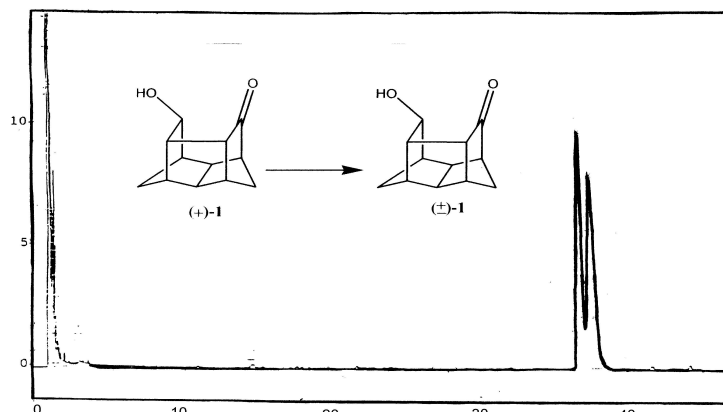
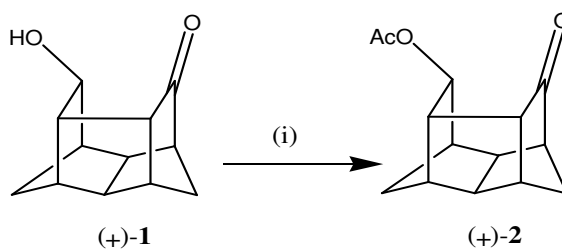


Figure 4. Chiral GC analysis showing racemization of (+)-1

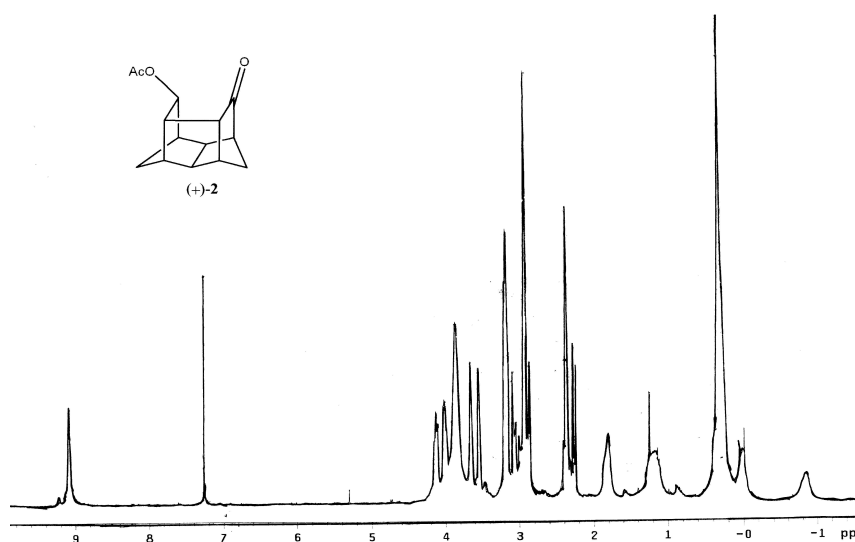
This result was somewhat unexpected, and we thus performed the enantiomeric excess analysis of (+)-**1** using  $^1\text{H}$  NMR with a chiral chemical shift reagent. However, the standard ( $\pm$ )-**1** did not present a satisfactory separation of enantiomeric signals. We then determined the enantiomeric excess of (+)-**1** by preparing

its acetylated derivative through the reaction of (+)-**1** with acetic anhydride (Scheme 3). The acetylated compound (+)-**2** was then analyzed by  $^1\text{H}$  NMR under the same conditions employed for (-)-**2**, showing an enantiomeric excess of 85% (Figure 5).



(i) acetic anhydride, DMAP,  $\text{CH}_2\text{Cl}_2$ , r. t

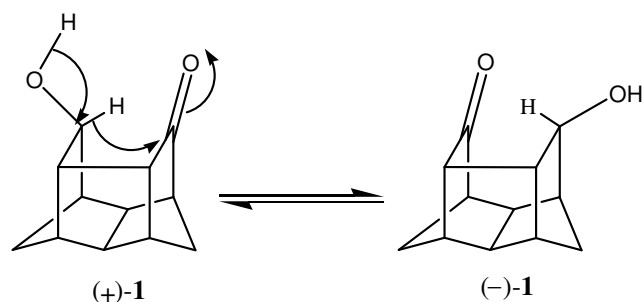
**Scheme 3.** Acetylation of (+)-**1**



**Figure 5.**  $^1\text{H}$  NMR spectrum of (+)-**2** with 20 mg of  $\text{Eu}(\text{hfc})_3$

The result of the analysis of (+)-**1** by chiral GC (Figure 4), when racemization occurred, could be explained by a dyotropic intramolecular

rearrangement producing the correspondent racemate (Scheme 4).



**Scheme 4.** Racemization process of **(+)-1**

This data suggests that the high temperature used in GC analysis can promote this dyotropic rearrangement. To confirm this hypothesis, we heated the compound **(+)-1** at 170 °C in a vacuum-sealed ampoule, and we observed the same dyotropic intramolecular rearrangement.

### Conclusion

It is impossible to determine the enantiomeric excess of **(+)-1** by GC analysis on a chiral column, due to thermal racemization by a dyotropic process resulting from orbital symmetry. <sup>1</sup>H NMR, using the chiral chemical shift reagent, (Eu (hfc)<sub>3</sub>), has shown to be an excellent alternative method to overcome this problem. This method showed an enantiomeric excess >95% for **(-)-2** and 85% for **(+)-1**.

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